

Attorney Docket: 178/50688  
PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: ANDREW SAMWAYS

Serial No.: 10/024,573

Filed: DECEMBER 21, 2001

Title: CENTRIFUGAL LIQUID CLEANING ARRANGEMENT

CLAIM FOR PRIORITY UNDER 35 U.S.C. §119

**Box Missing Parts**

Assistant Commissioner for Patents  
Washington, D.C. 20231


Sir:

The benefit of the filing date of prior foreign application No. 9914640.9, filed in Great Britain on June 23, 1999, is hereby requested and the right of priority under 35 U.S.C. §119 is hereby claimed.

In support of this claim, filed herewith is a certified copy of the original foreign application.

Respectfully submitted,

May 7, 2002

  
\_\_\_\_\_  
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I, the undersigned, being an officer duly authorised in accordance with Section 74(1) and (4) of the Deregulation and Contracting Out Act 1994, to sign and issue certificates on behalf of the Comptroller-General, hereby certify that annexed hereto is a true copy of the documents as originally filed in connection with the patent application identified therein together with the Statement of inventorship and of right to grant of a Patent (Form 7/77), which was subsequently filed.

I also certify that the attached copy of the request for grant of a Patent (Form 1/77) bears an amendment, effected by this office, following a request by the applicant and agreed to by the Comptroller-General.

In accordance with the Patents (Companies Re-registration) Rules 1982, if a company named in this certificate and any accompanying documents has re-registered under the Companies Act 1980 with the same name as that with which it was registered immediately before re-registration save for the substitution as, or inclusion as, the last part of the name of the words "public limited company" or their equivalents in Welsh, references to the name of the company in this certificate and any accompanying documents shall be treated as references to the name with which it is so re-registered.

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Signed

*Stephen Hordley*

Dated 12 March 2002



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GB9914640.9

By virtue of a direction given under Section 30 of the Patents Act 1977, the application is proceeding in the name of

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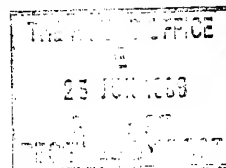
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[ADP No. 00448571006]



24 JUN 99 E458761-1 001875  
P01/7700 0.00 - 9914640.9

The Patent Office

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## Request for grant of a patent

(See the notes on the back of this form. You can also get an explanatory leaflet from the Patent Office to help you fill in this form)

1. Your reference	3120.GB		
2. Patent application number (The Patent Office will fill in this part)	9914640.9		23 JUN 1999
3. Full name, address and postcode of the or of each applicant (underline all surnames)	Federal-Mogul Engineering Limited c/o T&N Limited Manchester International Office Centre Styal Road Manchester M22 5TN		
Patents ADP number (if you know it)	1638802001		
If the applicant is a corporate body, give the country/state of its incorporation	United Kingdom		
4. Title of the invention	Centrifugal Liquid Cleaning Arrangement		
5. Name of your agent (if you have one)	J Hammersley, G R Gibson and P L Drury Address for service in the United Kingdom T&N Limited, Patent & Trade Mark Department to which all correspondence should be sent Manchester International Office Centre (including the postcode) Styal Road Manchester M22 5TN		
	Urquhart - Dykes & Lord Greg's Buildings, 1 Booth Street MANCHESTER, M2 4DU. 100205006		
Patents ADP number (if you know it)	26/10/99 Global change		
6. If you are declaring priority from one or more earlier patent applications, give the country and the date of filing of the or of each of these earlier applications and (if you know it) the or each application number	Country	Priority application number (if you know it)	Date of filing (day, month, year)
7. If this application is divided or otherwise derived from an earlier UK application, give the number and the filing date of the earlier application	Number of earlier application		Date of filing (day, month, year)
8. Is a statement of inventorship and of right to grant of a patent required in support of this request? (Answer 'Yes' if: a) any applicant named in part 3 is not an inventor, or b) there is an inventor who is not named as an applicant, or c) any named applicant is a corporate body. See note (d))	Yes		

9. Enter the number of sheets for any of the following items you are filing with this form. Do not count copies of the same document

Continuation sheets of this form

Description	8
Claim(s)	3
Abstract	1
 Drawing(s)	1


10. If you are also filing any of the following, state how many against each item

Priority documents -

Translations of priority documents -


Statement of inventorship and right to grant of a patent (Patents Form 7/77) -

Request for preliminary examination and search (Patents Form 9/77) 1

 Request for substantive examination (Patents Form 10/77) -

Any other documents  
(please specify)

11. I/We request the grant of a patent on the basis of this application.

Signature   
J Hammersley  
Agent for the Applicants

Date 22/06/99

12. Name and daytime telephone number of person to contact in the United Kingdom

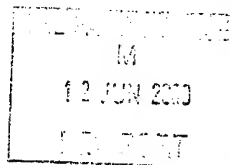
J Hammersley  
0161 955 5243

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**Statement of inventorship and of  
right to grant of a patent**

The Patent Office

Cardiff Road, Newport  
South Wales NP9 1RH

1.	Your reference	P65000217GB
2.	Patent application number (If you know it)	9914640.9
3.	Full name of the or of each applicant	Federal-Mogul Engineering Limited
4.	Title of the invention	Centrifugal Liquid Cleaning Arrangement
5.	State how the applicant(s) derived the right from the inventor(s) to be granted a patent	The inventor is employed by the applicant and the invention was made in the course of his normal duties or duties specifically assigned to him.
6.	How many, if any additional Patents Form 7/77 are attached to this form? (see note (c))	
7.	I/We believe that the person(s) named over the page (and on any extra copies of this form) is/are the inventor(s) of the invention which the above patent application relates to: Signature _____ Date 08/06/00  URQUHART-DYKES & LORD	
8.	Name and daytime telephone number of person to contact in the United Kingdom	J Hammersley 0161 832 9353

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Enter the full names, addresses and postcode of the inventors in the boxes and underline the surnames

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Patent ADP number (if you know it)

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Reminder

Have you signed the form?

REPLICATED

#### Centrifugal Liquid Cleaning Arrangement

This invention relates to centrifugal separators of solid contaminants from a liquid supplied thereto at elevated pressure and in particular relates to operating and maintaining such a centrifugal separator with respect to a machine in which said liquid is contained and flows.

Patent specification GB-A-2311239 describes a self-powered centrifugal separator, that is, one having a separation rotor which is rotated by the pressure of the liquid supplied to and cleaned thereby, having such a separator rotor contained in a housing defined by separable base and cover parts and discusses the potential problems of inadvertent removal of the cover from the base. The patent specification proposes the inclusion of valve means to divert the elevated pressure supply within the separator to permit such removal without interrupting the supply per se and, also, the provision of a mechanical interlock between a manually operated handle of the valve means and the housing cover which impedes said removal until the valve means is operated to divert the liquid supply from the separation rotor.

As discussed therein, it is a feature of that and other designs of centrifugal separator that the liquid is supplied at elevated pressure to the separation rotor by way of axle means on which the rotor is mounted for rotation and which axle means is located with respect to the base, and usually the cover.

The supply is often arranged to exert an axial force on the rotor to overcome gravity so that the cover not only defines a housing to contain liquid discharged from the rotor but also serves to constrain/retain the rotor axially when supplied with liquid and subjected to such axial force.

It is found that inadvertent removal of the cover without stopping the elevated pressure supply to the rotor risks having the rotor itself being lifted from the base by said pressure and detached, and indeed launched as a projectile, as well as uncontrolled discharge of the liquid.



Whereas the construction of centrifugal separator described in the aforementioned patent specification, that is, the separator rotor being mounted on an elongate stationary spindle fixed to the base, makes it for the supply pressure per se to lift the rotor completely clear of the spindle the instant that the cover is separated from the base, it will be appreciated that there are many other configurations of axle means for mounting such separation rotor between base and cover which may be more susceptible to such detachment of the rotor by supply pressure. Particularly susceptible is the type of rotor mounting means wherein the rotor is located and mounted with respect to each of the base and cover parts by relatively short stub-axle engagement and wherein, if the cover is inadvertently removed, there is little to keep the rotor, particularly if spinning, from detaching completely from the base instantaneously.

In some designs of centrifugal separator it may not be possible to provide valve means in the separator itself to interrupt supply to the rotor or rotor mounting means, not only increasing the risk of inadvertent removal of the cover but also making more difficult the provision of such physical interlock against cover removal. Furthermore, even when such valve means to interrupt liquid supply to the rotor mounting means is provided within the separator, it may be inappropriate to arrange for a manual interlock which prevents removal of the cover, or impracticable because of the necessity to manually overcome the interlock each time the valve means is operated, and even when there is intention to remove the cover.

It is an object of the present invention to provide a centrifugal separator having simpler and less obtrusive means of guarding against inadvertent cover removal than hitherto.

According to the present invention a centrifugal separator of solid contaminants from a liquid supplied thereto at elevated pressure comprises a housing having a base part and a cover part releasably secured with respect to each other, said housing containing a separation rotor, contained between the base and cover being mounted with respect thereto by spindle means extending between the base and cover, said rotor being arranged for rotation about an axis extending between the base and cover, and displaceable along the axis limited by said base and cover, and rotor restraining means comprising a restraining surface forming part of, or carried by, the rotor extending radially and circumferentially of the rotor and facing away from the base, and abutment means carried by the base having an abutment surface overlying the restraining surface at or beyond the limit of axial displacement of the rotor from the base permitted by the cover, operable to

prevent further axial displacement of the rotor away from the base in the absence of limitation by the cover.

Embodiments of the invention will now be described by way of example with reference to the accompanying drawings, in which:-

Figure 1(a) is a sectional elevation through a centrifugal separator in accordance with the present invention including a first embodiment of rotor restraining means having abutment means in the form of a, flanged circumferentially complete tubular body,

Figure 1(b) is a partly cut-away, perspective view of the rotor restraining means of Figure 1(a), and

Figure 2 is a perspective view of the abutment means of a second embodiment of rotor restraining means, having abutment means in the form of flanged, circumferentially discontinuous fingers.

Referring to Figure 1(a) a centrifugal separator 10 comprises a base part 12 and a cover part 14 releasably secured with respect thereto to define a housing enclosure for a separation rotor 16.

The base part 12 is a machined casting having a mounting region 18, liquid supply duct 20, of relatively small cross-section, and a drain duct 22, of relatively large cross-section, which leads to an upwardly facing collection region 24.

The cover part 14 encloses the rotor and collection region, being supported on the base 12 by way of outwardly projecting sealing flanges 14<sup>1</sup> and 12<sup>1</sup> on each respectively and between which is disposed a sealing element 26. The sealing flanges are tapered convergingly in a radial direction and surrounded by a correspondingly profiled, circumferentially discontinuous clamping ring arrangement 28 which is operable, by radial contraction, to provide significant axial force between the flanges and on the sealing element to secure the cover part with respect to the base part.

The separation rotor 16 comprises an annular container 30 of two axially conjoined parts, somewhat similar to the housing. A first component part 32 is disposed adjacent the base 12 and a second component part 34 is disposed adjacent the cover, each being of

generally tubular form closed at one end and open at the other, complementing and joined to each other at a peripheral seam 36 which defines a flange extending radially outwardly of, and circumferentially about, the peripheral wall of the rotor between its ends, the flange having an upper surface 36<sup>1</sup> discussed below.

The separator 10 also includes rotor mounting spindle means 40 comprising a spindle 42 extending through, and fixed with respect to, the container 30. The spindle extends axially beyond the ends the container in the form of relatively short, effective stub axles, to effect at the lower end a first stub axle 44 and at the upper end a second stub axle 46. The first stub axle part locates in a bearing 48 in the base 12 and the second stub axle locates in a bearing 50 in the cover 14, defining first stub axle means and second stub axle means respectively. The lower end of the spindle is stepped at 52 and with the bearing 48 effects a thrust bearing which inter alia limits axial displacement of the rotor in a downward direction towards the base. The upper end of the spindle carries a thrust bearing bush 54 which is able to bear against the cover and inter alia limit axial displacement of the rotor in an upward direction away from the base, that is, defines a rotor displacement limit. In normal circumstances there is a small amount of axial displacement, or end float, permitted.

The bearing 48 is disposed in a recess 56 in the base 12 which forms a continuation of the supply duct 20 and the spindle 42 has a supply feed passage 60, extending part way therealong from an open lower end 62 in the recess 56, which forms a further continuation of the supply duct 20. The passage terminates at a cross-drilling 64 which communicates with an annular feed chamber 66 of the rotor leading into the container space 68. A separate annular chamber 70 directs liquid from the container space to a plurality of discharge apertures 72 which in turn communicate with the discharge region 24 of the housing.

As an alternative to the more common arrangement of using reaction to emission of the liquid from the discharge apertures via flow constricting nozzles to drive the rotor in rotation, the rotor 16 is driven by an external turbine arrangement 74 comprising an array of vanes or buckets 76, carried by the spindle 42, and a fixed jet 78 disposed to direct liquid tapped from the supply ducts 20, or from some other source, against the vanes.

The supply duct 20 also, optionally, contains valve means 80 comprising a valve body 82 rotatable about an axis 84, normal to the duct, by operation of handle 86. The valve body

has a T-shape through passage and is operable upon rotation to redirect liquid flow along duct 20 by way of diversion passage 88 into the drain duct 22.

The centrifugal separator structure thus so far described, and its operation, is essentially conventional; liquid supplied at elevated pressure to duct 20 passes through valve means 80 and into the spindle passage 60, passing therefrom via the container and discharge apertures 72 to the drain duct 22. Some of the liquid is directed by way of nozzle 78 to impinge upon the spindle-mounted vanes 76 to spin the rotor and permit centrifugal separation of solid contaminants from the liquid that passes through the container space. It will be appreciated that the rotor, full of liquid has a significant weight and to minimise the downward thrust force on the lower bearing 48, the liquid supply pressure is used to exert an axial lifting force on the spindle to compensate therefor.

In normal operation, the valve means 80, or an external equivalent (not shown), would be operated to prevent the supply liquid flow from reaching the rotor spindle before the clamping ring arrangement 28 is released and the cover is lifted from the base and the upper (stub axle) part of the spindle means. It will be understood that if the clamping ring arrangement were to be released without operation of the valve means the axial force exerted by the supply pressure on the rotor and cover would lift them away from the base and, in addition to turning one or both into projectiles, would permit the liquid to discharge at high pressure and volume over surrounding machinery and/or personnel, and possibly starving said machinery of the liquid with consequential damage thereto.

Referring also to Figure 1(b), in accordance with the present invention the centrifugal separator 10 is provided with rotor restraining means indicated generally at 90.

The restraining means is in two parts. One part comprises a restraining surface forming part of, and extending circumferentially of, the rotor, and facing away from the base. This surface is provided by the aforementioned upper flange surface 36<sup>1</sup> of the rotor seam 36. The other part comprises abutment means 91, carried by the base 12, having an abutment surface 92 overlying the restraining surface 36<sup>1</sup> beyond the normal limit of axial displacement of the rotor from the base (end float) permitted by the cover. The abutment means 91 comprises a tubular, that is, circumferentially continuous, body part 93 having, at a first end 94, a radially outwardly directed mounting flange 95 for securing it with respect to the base 12 and, at a second end 96, radially inwardly directed flange means 97 which provides said abutment surface 92.

The nature of the rotor restraining means is that the abutment means, by its abutment surface, prevents removal of the rotor from the base without firstly removing the abutment means. To this end, and having regard to the annular nature of the space available between rotor and housing, the abutment means is arranged to engage with the base by approach thereto in an axial direction and be secured thereto by rotation about said axes, that is as a bayonet or similar type fitting. As shown, the mounting flange means 95 comprises at least one mounting aperture 98 therethrough having a varying radial width circumferentially. Additionally, the base 12 carries a corresponding number of headed fasteners 99 each arranged to pass through a said mounting aperture at the point of greatest radial width but prevented from so doing at the point of least radial width.

It will be appreciated that the precise structure of abutment means and restraining surface may be open to variation, as desired and to accommodate different design features of the rotor housing.

For example, if the rotor does not have a conveniently placed seam at some point on its peripheral wall, the abutment means could be dimensioned to have its abutment surface overlying the upper end wall of the rotor (indicated at 30<sup>1</sup>), or one or more flanges of arbitrary extent in the circumferential direction may be secured to the peripheral wall of the rotor or possibly, in the case of a rotating spindle, to the spindle means.

It will be appreciated that provided at least one of the restraining and abutment surfaces is circumferentially continuous, the other one need not be. Therefore, if the restraining surface is circumferentially complete the abutment surface, and indeed other parts of the surface, and indeed the abutment means itself, may be circumferentially discontinuous.

Referring now to Figure 2 a second form of rotor restraining means (190) comprises the aforementioned rotor restraining surface 36<sup>1</sup> and abutment differs from the means 90 described above in that the abutment surface and axially extending body 192 is discontinuous circumferentially and comprises one or more discrete axially extending fingers 192<sub>1</sub>, 192<sub>2</sub>, 192<sub>3</sub> each topped by respective flanges 197<sub>1</sub>, 197<sub>2</sub> .... that define circumferentially limited components 193<sub>1</sub>, 193<sub>2</sub> ... of abutment surface 193. Mounting flange means 195 may be discontinuous as a flange 195<sub>1</sub>, 195<sub>2</sub> ... associated with each finger or may be circumferentially continuous, as shown ghosted, in the manner of flange 95 for easier manipulation.

It will be appreciated that when the rotor restraining surface 36<sup>1</sup> is tapered, any force it exerts on the abutment surface components has a radial component and the fingers 192<sub>1</sub>, 192<sub>2</sub> ... should be capable of resisting deflection thereby. However, if the rotor restraining surface does not exert a component of force radially on the abutment means, then the fingers may be manually deflectable to permit engaging the rotor with, and removing it from, the base 12.

Notwithstanding the form taken by the abutment means, it may be secured with respect to the base by other forms of attachment.

It will be appreciated that there is a possibility of the abutment means being inadvertently omitted when the cover is secured to the base and for the operator to be unaware that the safety factor of the restraining means is not present and about which, at the very least, the operator should not be complacent. This is particularly so if the optional valve means 80 is omitted.

Simple warning means may be included, such as a window in the cover which makes the present or absence of the abutment means apparent or a resilient tongue which extends radially inwardly from the peripheral wall of the cover or base to scrape audibly against the rotor unless deflected away therefrom by the abutment means, that is, remain silent when the abutment means is in place.

Alternatively, more complex interlock means may be provided that inhibits operation of the centrifugal separator without the abutment means. Such means may take the form of a valve (not shown) which is linked to the presence of the abutment means adjacent the body to permit liquid to be supplied to the spindle passage, or if the valve means 80 is included, such interlock may require the presence of the abutment means adjacent the body to permit the valve to be moved from diversion to through-flow status. Interlock means may alternatively or additionally take a form that inhibits attachment of the cover to the base in the absence of the abutment means. For example, the base may carry a resilient member extending at least in part radially outwardly so that it prevents the cover from moving into engagement with the base, which member has a radially inwardly directed component upon which force is exerted by installation of the abutment means to deflect member out of the path of the cover. These should be considered as exemplary only and may be used alone or in conjunction with each other or other methods within the knowledge of the skilled practitioner.

It will be appreciated that the centrifugal separator of the invention is not confined to having the rotor mounting means or rotor drive arrangements described above. The rotor may be driven by more conventional reaction nozzles at container outlet 72 and/or be driven by fluid other than the liquid being cleaned within the rotor container. The rotor mounting means may comprise a stationary spindle fixed with respect to the base, or stationary stub axles fixed with respect to the base and cover.

Although a centrifugal separator in accordance with the invention may benefit particularly from having rotor restraining means when the supply liquid pressure exerts an axial force on the rotor tending to separate it from the base, the provision of such restraining means is beneficial even when the rotor is not susceptible to such forces, in avoiding the liquid spillage consequences of inadvertent normal removal of the rotor.

CLAIMS

1. A centrifugal separator of solid contaminants from a liquid supplied thereto at elevated pressure, comprising a housing having a base part and a cover part releasably secured with respect to each other, said housing containing  
(i) a separation rotor, contained between the base and cover, being mounted with respect thereto by spindle means extending between the base and cover and said rotor being arranged for rotation about an axis extending between the base and cover, displaceable along the axis limited by said base and cover, and  
(ii) and rotor restraining means comprising a restraining surface forming part of, or carried by, the rotor extending radially and circumferentially of the rotor and facing away from the base,  
and abutment means carried by the base having an abutment surface overlying the restraining surface at or beyond the limit of axial displacement of the rotor from the base permitted by the cover, operable to prevent further axial displacement of the rotor away from the base in the absence of limitation by the cover.
2. A centrifugal separator as claimed in claim 1 in which the restraining surface extends circumferentially completely around the rotor.
3. A centrifugal separator as claimed in any one of the preceding claims in which the abutment surface comprises a circumferentially complete annular surface.
4. A centrifugal separator as claimed in any one of the preceding claims in which the restraining surface of the container comprises a flange extending radially outwardly of the peripheral wall of the rotor.
5. A centrifugal separator as claimed in claim 4 in which the rotor comprises a first component part disposed adjacent the base and a second component part, disposed adjacent the cover, complementing and joined with respect to each other at a peripheral seam defining said flange.
6. A centrifugal separator as claimed in any one of the preceding claims in which the abutment means is releasably secured to the base and removable therefrom to permit removal of the rotor in said axial direction away from the base.



7. A centrifugal separator as claimed in claim 6 in which the abutment means comprises an axially extending body having, at a first end, radially directed mounting flange means for securing it with respect to the base and, at a second end, radially inwardly directed flange means overlying said restraining surface.
8. A centrifugal separator as claimed in claim 7 in which the axially extending body is a tubular, circumferentially continuous body.
9. A centrifugal separator as claimed in claim 7 or claim 8 in which the abutment means is arranged to engage with the base by approach thereto in an axial direction and be secured thereto by rotation about said axis.
10. A centrifugal separator as claimed in claim 9 in which mounting flange means comprises at least one mounting aperture therethrough having a varying radial width circumferentially and the base carries a corresponding number of headed fasteners each arranged to pass through a said mounting aperture at the point of greatest radial width but prevented from so doing at the point of least radial width.
11. A centrifugal separator as claimed in any one of the preceding claims in which the rotor mounting means comprises first stub axle means defined by the base and one end region of the rotor, and second stub axle means defined by the cover and the opposite end region of the rotor.
12. A centrifugal separator as claimed in any one of the preceding claims including warning means arranged to provide an indication of the presence of the abutment means.
13. A centrifugal separator as claimed in any one of the preceding claims responsive to the presence of the abutment means to permit securing of the cover to the base.
14. A centrifugal separator as claimed in any one of the preceding claims including interlock means responsive to the presence of the abutment means to permit supply of said liquid to the rotor.

15. A centrifugal separator of solid contaminants from a liquid thereto at elevated pressure substantially as herein described with reference to, and as shown in, Figures 1(a) and 1(b) or Figure 2 of the accompanying drawings.

Abstract

Centrifugal Liquid Cleaning Arrangement

A Centrifugal Separator (10, Fig 1(a)) for an engine has a rotor 30 mounted within cover 14 for rotation on hollow spindle 42 to the end of which oil is supplied at high pressure to enter the rotor. To prevent the rotor from flying from the base and oil spraying if the cover 14 is removed without stopping supply via optional valve 80, a rotor restraining means 90 forms a ring 91, (Fig 1(b)) which surrounds and overlies a flange of the rotor. The ring may be complete or discontinuous and include an interlock to prevent fitting of the cover and/or supply of oil if it is not in place.

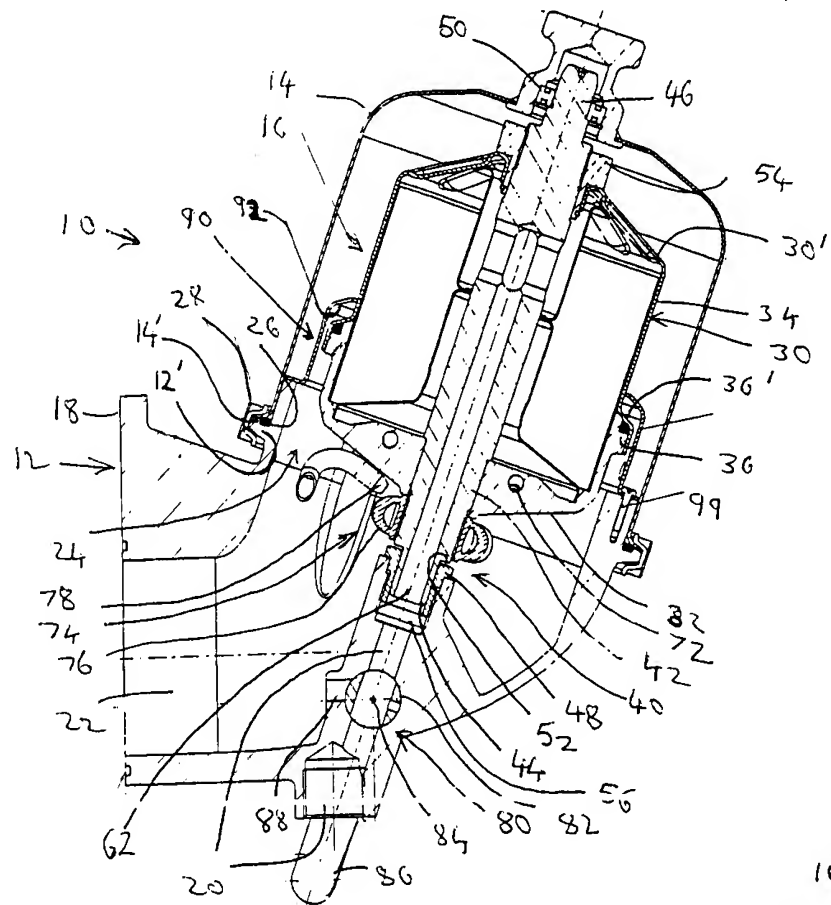


FIG. 1(a)

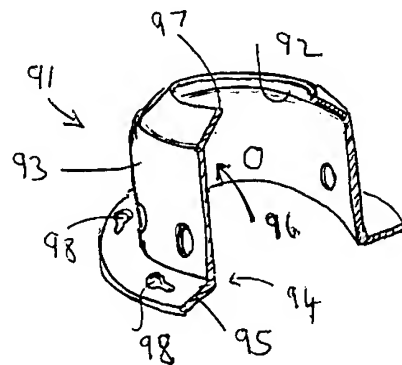


FIG. 1(b)

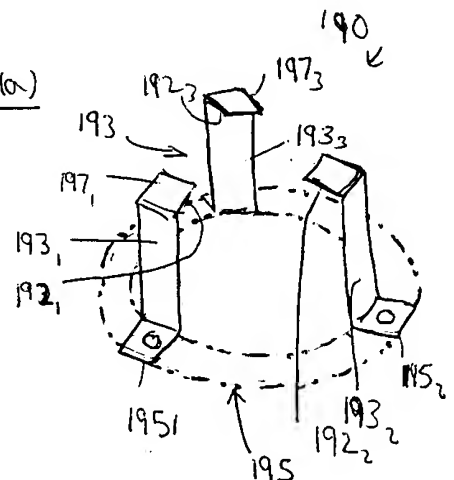


FIG. 2